

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	6215072
<b>Application Number:</b>	10644281
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	5799
<b>Title of Invention:</b>	System and method for manager enhanced return on collateralized debt obligation transactions
<b>First Named Inventor/Applicant Name:</b>	Christian Spieler
<b>Customer Number:</b>	28221
<b>Filer:</b>	David Toma.
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	36287-3801
<b>Receipt Date:</b>	06-OCT-2009
<b>Filing Date:</b>	20-AUG-2003
<b>Time Stamp:</b>	22:59:57
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 130
RAM confirmation Number	6477
Deposit Account	501358
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The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

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**File Listing:**

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		OA_Response.pdf	2739096 512772c3db2716604648b8ec38f3d3ae8f8581	yes	12
<b>Multipart Description/PDF files in .zip description</b>					
	<b>Document Description</b>	<b>Start</b>	<b>End</b>		
	Amendment/Req. Reconsideration-After Non-Final Reject	1	1		
	Claims	2	1		
	Applicant Arguments/Remarks Made in an Amendment	9	12		

**Warnings:****Information:**

2	Fee Worksheet (PTO-875)	fee-info.pdf	29841 bb6c5030681821d45f488fbc14d99481a7cc8f9	no	2
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**Warnings:****Information:**

<b>Total Files Size (in bytes):</b>	2768937
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.